



TO: Michael O'Brien
UTC Fire & Security Americas Corporation, Inc.
1212 Pittsford Victor Road
Pittsford, NY 14534

FROM: Chi Hickey on behalf of the FICAM Testing Program

DATE: 8/27/2015 - Update

RE: **Approval of Products/Services for Listing on the GSA APL**

Office of Management and Budget (OMB) issued M-06-18 that requires Federal Agencies to procure only qualified products and services listed on the GSA Approved Products List (APL) when implementing HSPD-12 into their environment. Procurement of approved products and services facilitates the government-wide objective of a federated and interoperable ICAM segment architecture, and ensures compliance, consistency and alignment of commercially-available products and services with the requirements and functional needs of government ICAM implementer.

Accordingly, the FIPS 201 Evaluation Program (Program) is very pleased to inform you that the following product/service has passed testing against all applicable Testing Requirements. Please see the attached document that summarizes the specific parameters of the approval (e.g., product/service profile, what your product/service was tested with). Your product/service will now be listed on the APL as shown below. If there are any errors in the listing information, please notify me within five (5) business days of receiving this letter.

Approval Authorization Letter

1 Category-PACS Infrastructure (APL #10027)

1.1 Lab Case file Number (LCFN)

- LCFN-140017

1.2 APL Certification Number

- (APL #10027)
 - Lenel OnGuard 2013 Software for ES, ADV, PRO or Enterprise system types
 - Lenel - access series intelligent system controller (ISC)
 - Lenel – access series intelligent system dual door controller with built in dual reader interface module (IDRC)
 - Lenel – access series intelligent system single door controller (ISDC) edge controller w/ POE
 - Lenel – dual reader interface module (DRI)
 - Lenel - single reader interface module (SRI)

1.3 Product/Service Information

Organization Name:	UTC Fire & Security Americas Corporation, Inc.
Name of Product or Service:	Lenel OnGuard 2013 Software for ES, ADV, PRO or Enterprise system types
Part Number:	OG-MEDIA-KIT
Hardware Version:	
Software Version:	v7.0.xxx
Firmware Version:	

Organization Name:	UTC Fire & Security Americas Corporation, Inc.
Name of Product or Service:	Lenel - access series intelligent system controller (ISC)
Part Number:	LNL-3300
Hardware Version:	
Software Version:	
Firmware Version:	v1.188

Organization Name:	UTC Fire & Security Americas Corporation, Inc.
Name of Product or Service:	Lenel – access series intelligent system dual door controller with built in dual reader interface module (IDRC)
Part Number:	LNL-2220
Hardware Version:	
Software Version:	
Firmware Version:	v1.188

Organization Name:	UTC Fire & Security Americas Corporation, Inc.
Name of Product or Service:	Lenel – access series intelligent system single door controller (ISDC) edge controller w/ POE
Part Number:	LNL-2210
Hardware Version:	
Software Version:	
Firmware Version:	v1.188

Organization Name:	UTC Fire & Security Americas Corporation, Inc.
Name of Product or Service:	Lenel – dual reader interface module (DRI)
Part Number:	LNL-1320
Hardware Version:	
Software Version:	
Firmware Version:	v1.55

Organization Name:	UTC Fire & Security Americas Corporation, Inc.
Name of Product or Service:	Lenel - single reader interface module (SRI)
Part Number:	LNL-1300
Hardware Version:	
Software Version:	
Firmware Version:	v1.52

1.4 Document History

Status	Date	Comments
Approved	11/14/2014	Final Approval Date for APL# 10027
Update	7/7/2015	Add HID pivCLASS RKCLB40 Reader
Update	8/27/2015	Reflect legal merger of Lenel Systems International into its affiliate company UTC Fire & Security Americas Corporation, Inc., effective as of August 1, 2015.

1.5 Restrictions:

This product has been tested and approved as a component of a fully compliant FICAM Solution. The end to end solution components used to test the FICAM compliance of the approved solution are listed below.

- Validation System (APL# 10028)
 - pivCLASS Registration Engine for Lenel OnGuard 6
 - pivCLASS Certificate Manager
 - pivCLASS Reader Services
 - pivCLASS IDPublisher
 - pivCLASS Authentication Module

- PIV Reader
 - pivCLASS RP40 Contactless Reader (APL#10003)
 - pivCLASS RK40 Contactless Reader + PIN (APL# 10004)
 - pivCLASS RPK40 Contactless Reader + PIN (APL# 10005)
 - pivCLASS R40 Contactless Reader (APL# 10006)
 - pivCLASS RKCL40 Contact/Contactless Reader with PIN (APL# 10007)
 - pivCLASS RPKCL40 Contact/Contactless Reader with PIN (APL# 10008)
 - pivCLASS RPKCLB40 Contact/Contactless Reader with PIN & BIO (APL# 10026)
 - pivCLASS RKCLB40 Contact/Contactless Reader with PIN & BIO (APL# 10052)

Approval of the above product/service indicates your cooperation with the Program and successful testing against a rigorous, comprehensive set of functional requirements derived from government-wide specifications. Testing included positive and negative test cases, and threat vectors.

Given the strategic government-wide importance of the APL, the scope and extent of testing performed on your product/service, and the rigor of that testing, the Program

would like to acknowledge the noteworthiness of the approval and listing. Your product/service will now be available to all Federal Agencies for procurement.

Please note that continued listing on the APL requires ongoing conformance to all applicable requirements, including requirements added or amended over time. A listed product/service can become non-conformant for various reasons including but not limited to:

- Failure to pass testing against new and revised requirements before the effective date of the new/revised requirements.
- Problems discovered in your listed product/service (or class of product/service) are not addressed within time frames specified by the Program.

Refer to applicable Agreements and Program documents for specific details regarding ongoing compliance including severity levels and remediation time frames. Listed products and services that fall out of conformance will be removed from the APL and added to the Removed Products List (RPL).

If you have any questions, please feel free to contact me.

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