



TO: Dick Coulombe
HID Global
611 Center Ridge Drive
Austin, TX 78753

FROM: Jordan Packham on behalf of the FICAM Testing Program

DATE: 8/20/2018

RE: **Approval of Products/Services for Listing on the GSA APL**

Office of Management and Budget (OMB) issued M-06-18 that requires Federal Agencies to procure only qualified products and services listed on the GSA Approved Products List (APL) when implementing HSPD-12 into their environment. Procurement of approved products and services facilitates the government-wide objective of a federated and interoperable ICAM segment architecture, and ensures compliance, consistency and alignment of commercially-available products and services with the requirements and functional needs of government ICAM implementer.

Accordingly, the FIPS 201 Evaluation Program (Program) is very pleased to inform you that the following product/service has passed testing against all applicable Testing Requirements. Please see the attached document that summarizes the specific parameters of the approval (e.g., product/service profile, what your product/service was tested with). Your product/service will now be listed on the APL as shown below. If there are any errors in the listing information, please notify me within five (5) business days of receiving this letter.

Approval Authorization Letter

1 Category-Validation System

- (APL# 10113)
 - pivCLASS Registration Engine for Lenel OnGuard¹
 - pivCLASS Certificate Manager
 - pivCLASS Reader Services
 - pivCLASS Embedded Authentication Firmware
 - pivCLASS IDPublisher²

1.1 Product/Service Information

| | |
|------------------------------------|--|
| Organization Name: | HID |
| Name of Product or Service: | pivCLASS Registration Engine for Lenel OnGuard |
| Part Number: | PVCP-D/S-14-00 |
| Hardware Version: | |
| Software Version: | 5.5.1 |
| Firmware Version: | |

| | |
|------------------------------------|------------------------------|
| Organization Name: | HID |
| Name of Product or Service: | pivCLASS Certificate Manager |
| Part Number: | PVC-CM |
| Hardware Version: | |
| Software Version: | 5.5.1 |
| Firmware Version: | |

| | |
|------------------------------------|--------------------------|
| Organization Name: | HID |
| Name of Product or Service: | pivCLASS Reader Services |
| Part Number: | PVC-FXRDR |
| Hardware Version: | |
| Software Version: | 5.5.1 |
| Firmware Version: | |

| | |
|------------------------------------|---|
| Organization Name: | HID |
| Name of Product or Service: | pivCLASS Embedded Authentication for LNL-4420 |
| Part Number: | VAS00530 |
| Hardware Version: | |
| Software Version: | |
| Firmware Version: | 5.4.137 |

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|------------------------------------|-----------------------------------|
| Organization Name: | HID |
| Name of Product or Service: | pivCLASS IDPublisher ² |

¹ pivCLASS Registration Engine was substituted for pivCLASS FIPS 201 SDK with this release.

² Meets one or more optional test cases in FRTC, but not required for minimum FICAM compliance.

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|--------------------------|--------------|
| Part Number: | PVC-IDPUBUNL |
| Hardware Version: | |
| Software Version: | 5.5.1 |
| Firmware Version: | |

1.2 Document History

| Status | Date | Comments |
|----------|-----------|--|
| Approved | 6/15/2017 | Final Approval Date for APL# 10113 : HID pivCLASS Validation System (Software Version 1.3.3.0) |
| Approved | 8/20/2017 | Updated approval for APL# 10113 : HID pivCLASS Validation System (Software Version 5.5.1, Embedded Authentication firmware 5.4.137) |

1.3 Restrictions:

This product has been tested and approved as a component of a fully compliant FICAM Solution. The end to end solution components used to test the FICAM compliance of the approved solution are listed below.

- PACS Infrastructure (APL #**10112**)
 - OnGuard 7.4 Software for ES, ADV, PRO or Enterprise system types
 - Intelligent System Controller with Dual reader interface, includes pivCLASS Embedded Authentication (see above)
 - Lenel Single Reader Network Board
 - Series 3 Single Reader interface
 - Lenel Series 3 Dual Reader Interface
 - CTX Enclosure w/Power Supply 6 Amp and PD4

- PIV Reader
 - pivCLASS RPKCL40 Contact/Contactless Reader with PIN VAS0300 Rev. B.1 (APL# **10008**)
 - pivCLASS RKCL40 Contact/Contactless Reader VAS0300 Rev. B.1 (APL# **10007**)
 - pivCLASS RPK40 Contact/Contactless Reader VAS0300 Rev. B.1 (APL# **10005**)
 - pivCLASS RK40 Contactless Reader VAS0300 Rev. B.1 (APL# **10004**)
 - pivCLASS RP40 Contactless Reader VAS0300 Rev. B.1 (APL# **10003**)
 - pivCLASS R40 Contactless Reader VAS0300 Rev. B.1 (APL# **10006**)
 - pivCLASS RPKCLB40 Contact/Contactless Reader with PIN & BIO VAS0300 Rev. B.1 (APL# **10026**)
 - pivCLASS RKCLB40 Contact/Contactless Reader with PIN & BIO VAS0300 Rev. B.1 (APL# **10052**)
 - pivCLASS R10 Contactless Reader Rev. B.1 (APL# **10085**)

Approval of the above product/service indicates your cooperation with the Program and successful testing against a rigorous, comprehensive set of functional requirements derived from government-wide specifications. Testing included positive and negative test cases, and threat vectors.

Given the strategic government-wide importance of the APL, the scope and extent of testing performed on your product/service, and the rigor of that testing, the Program would like to acknowledge the noteworthiness of the approval and listing. Your product/service will now be available to all Federal Agencies for procurement.

Please note that continued listing on the APL requires ongoing conformance to all applicable requirements, including requirements added or amended over time. A listed product/service can become non-conformant for various reasons including but not limited to:

- Failure to pass testing against new and revised requirements before the effective date of the new/revised requirements.
- Problems discovered in your listed product/service (or class of product/service) are not addressed within time frames specified by the Program.

Refer to applicable Agreements and Program documents for specific details regarding ongoing compliance including severity levels and remediation time frames. Listed products and services that fall out of conformance will be removed from the APL and added to the Removed Products List (RPL).

If you have any questions, please feel free to contact me.

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